

| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |            | ATTY DOCKET NO.<br>293327US2PCT  |                       | SERIAL NO.<br>10/590,052   |  |
|--|----|--|------------|--|-----------------------|----------------------------|--|
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |            | APPLICANT<br>Hitoshi KUMA  |                       | GROUP<br>2879              |  |
|  |    |  |            | FILING DATE<br>August 21, 2006   |                       |                            |  |
|  |    |  |            |  |                       |                            |  |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |            |  |                       |                            |  |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE       | NAME   | CLASS                 | SUB<br>CLASS               | FILING DATE<br>IF APPROPRIATE                                    |
| /Z.S./   | AA | 5,537,000  | 07/16/1996 | A. Paul ALIVISATOS, et al.   |                       |                            |  |
| /Z.S./   | AB | 6,501,091 B1   | 12/31/2002 | Moungi G. BAWENDI, et al.  |                       |                            |  |
|  | AE |  |            |  |                       |                            |  |
|  | AD |  |            |  |                       |                            |  |
|  | AE |  |            |  |                       |                            |  |
|  | AG |  |            |  |                       |                            |  |
|  | AH |  |            |  |                       |                            |  |
|  | AI |  |            |  |                       |                            |  |
|  | AJ |  |            |  |                       |                            |  |
|  | AK |  |            |  |                       |                            |  |
|  | AL |  |            |  |                       |                            |  |
|  | AM |  |            |  |                       |                            |  |
|  | AN |  |            |  |                       |                            |  |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |            |  |                       |                            |  |
|  |    | DOCUMENT<br>NUMBER   | DATE       | COUNTRY  | TRANSLATION<br>YES NO |                            |  |
| /Z.S./   | AO | WO 99/50916  | 10/07/1999 | WIPO (corr. to JP 2002-510866 which was previously filed on August 21, 2006; also corr. US 6,501,091 B1) |                       |                            |  |
|  | AP |  |            |  |                       |                            |  |
|  | AD |  |            |  |                       |                            |  |
|  | AP |  |            |  |                       |                            |  |
|  | AS |  |            |  |                       |                            |  |
|  | AT |  |            |  |                       |                            |  |
|  | AU |  |            |  |                       |                            |  |
|  | AV |  |            |  |                       |                            |  |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b> |    |  |            |  |                       |                            |  |
|  | AW |  |            |  |                       |                            |  |
|  | AX |  |            |  |                       |                            |  |
|  | AY |  |            |  |                       |                            |  |
|  | AZ |  |            |  |                       |                            | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner   |    | /Zachary Snyder/   |            |  |                       | Date Considered 01/30/2009 |  |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Z.S./